

## Lowering of The Sintering Temperature of High Thermal Conductive AlN Ceramics

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### Abstract

High thermal conductivity of aluminum nitride (AlN) was attained by using suitable amount of additives such as oxides and fluorides. Additives such as CaO,  $Y_2O_3$ ,  $CaF_2$ ,  $YF_3$  and  $AlF_3$  were investigated as AlN sintering aids, aiming at lowering the sintering temperature. AlN ceramics were sintered at 1600°C using a mixture of CaO,  $CaF_2$  and  $AlF_3$  additives. The thermal conductivity of AlN with CaO- $AlF_3$ ,  $CaF_2$ - $AlF_3$ , additives was found to be  $160W \cdot m^{-1}K^{-1}$ .

Key-words: aluminum nitride, sintering, additives, thermal conductivity, oxides, fluorides

### Introduction

Aluminum nitride (AlN) is known as a high thermal conductive ceramics. Its monocrystal conductivity is about  $320W \cdot m^{-1}K^{-1}$ <sup>1)</sup>. However, it is difficult to process AlN ceramics and achieve high thermal conductivity. The thermal conductivity of AlN ceramics is reduced by the presence of impurities such as oxygen and transition metal ions<sup>2)</sup> in the ceramics, and pressureless sintering is inefficient in producing pure AlN. Thus, AlN is

usually sintered with a suitable amount of additives such as oxides<sup>3)</sup> and fluorides<sup>4, 5)</sup>, which promote liquid phase sintering. The liquid phase is produced by the reaction of  $\text{Al}_2\text{O}_3$  that exists on the surface of AlN particles. For example,  $\text{Y}_2\text{O}_3$  additive reacts with  $\text{Al}_2\text{O}_3$  producing the Y-Al-O liquid phase which then promotes the sintering of AlN. CaO,  $\text{YF}_3$  and  $\text{CaF}_2$  additives also produce similar effects. This liquid phase migrates through grain boundaries and concentrates at grain boundary triple points, or migrates to the surface of the sintered AlN body<sup>6)</sup>. This means that these additives can either trap or remove oxygen impurity at the grain boundary triple points. Moreover, it was reported that sintering in  $\text{N}_2$  and reducing carbon gas atmosphere brought about good results in the removal of impurity phases. By using this method, the high thermal conductivity of AlN appears. Usually, AlN ceramics were sintered at more than  $1800^\circ\text{C}$  in  $\text{N}_2$  gas atmosphere including reducing carbon gas. But, from commercial points of view it is better to make the sintering temperature low for energy cost. Thus, we investigated the sintering of CaO,  $\text{Y}_2\text{O}_3$ ,  $\text{CaF}_2$ ,  $\text{YF}_3$  and  $\text{AlF}_3$  additives, for the purpose of lowering the sintering temperature of AlN ceramics. The effect of  $\text{AlF}_3$  addition was investigated due to the fact that it decomposes at a low temperature (about  $1300^\circ\text{C}$ ) and does not become an impurity in AlN ceramics.

This paper reports the comparison of these additives and the effect of  $\text{AlF}_3$  addition.

## Experimental

### 1. Sample preparation

The samples were fabricated by means of the conventional procedure as follows; the starting materials are AlN (Tokuyama Soda Co., Ltd., F grade; oxygen content  $\leq 1.0\%$ , average grain size  $1.8 \mu\text{m}$ )  $\text{Y}_2\text{O}_3$ ,  $\text{YF}_3$ , CaO,  $\text{CaF}_2$

(Soekawa chemicals Co.,Ltd., purity 3N) and  $\text{AlF}_3$  powders (High Purity Chemicals Co.,Ltd., purity 3N). These powders were weighed (Table 1) and mixed in ethanol with nylon ball for 4 hours. Slurry substances were obtained. These slurries were dried and acrylic binder was added. The mixture was again mixed in 1-1-1 tri-chloro-ethane. After drying, the mixed powders were pressed into 12mm diameter discs under the pressure of about  $500\text{kg}/\text{cm}^2$ . The samples were heated at  $700^\circ\text{C}$  in flowing  $\text{N}_2$  gas in order to burn out the binder. After this treatment, the samples were sintered at 1500, 1600, 1700 and  $1800^\circ\text{C}$  for 2, 4, 8, 16 and 32 hours in  $\text{N}_2$  atmosphere.

## 2. Evaluation

Dielectric constants of sintered samples were measured by the conventional method, and their densities were measured by the Archimedian method. Thermal conductivities were measured by the laser-flash method using a ruby laser ( $\lambda=0.6943\text{mm}$ ) and liquid-nitrogen-cooled InSb infrared detector at room temperature. The sintered samples were identified by the X-ray powder diffraction method (XRD) after crushing in an agate mortar. The microstructures were observed by scanning electron microscope (SEM), and X-ray photoelectron spectroscopy (XPS) was applied to investigate the fluoride.

## Results and discussion

The changes in the density and the thermal conductivity of the materials sintered at a temperature range of  $1500\text{--}1800^\circ\text{C}$  for 4 hours are shown in Figs. 1 and 2. As shown in Fig.1, added materials such as  $\text{Y}_2\text{O}_3$ ,  $\text{YF}_3$ ,  $\text{Y}_2\text{O}_3\text{-AlF}_3$  and  $\text{YF}_3\text{-AlF}_3$  densified at  $T > 1700^\circ\text{C}$ , and the thermal conductivity of these materials increases with increasing sintering

temperature. The materials with additives,  $Y_2O_3-AlF_3$  and  $YF_3-AlF_3$ , showed higher thermal conductivity than those materials without  $AlF_3$  when sintered at  $T > 1700^\circ C$ . This gives an information on the influence of the  $AlF_3$  additive. Figure 2 shows the materials with additives,  $CaO$ ,  $CaF_2$ ,  $CaO-AlF_3$  and  $CaF_2-AlF_3$ . As shown in this figure, there is a similar tendency on the density of these materials, however densification started at  $1600^\circ C$ . This temperature is lower than that of Fig.1. The thermal conductivity of the materials with  $AlF_3$  is higher than those materials without  $AlF_3$ . From the above observations, additives such as  $CaO$  and  $CaF_2$  may be useful for low temperature sintering, and the additive  $AlF_3$  may give some good influence on the liquid-phase sintering. Higher thermal conductivity was obtained in the  $AlN-CaO-AlF_3$  and  $AlN-CaF_2-AlF_3$  systems.

Figure 3 summarizes the microstructural evolution of materials with  $CaO$  and  $CaO-AlF_3$  addition. These materials were sintered at temperature  $1500-1700^\circ C$  for 4 hours. The microstructures of the fractured surface of the samples with  $CaO$  addition are shown in Fig.3 a)-c), and those with  $CaO-AlF_3$  addition are shown in Fig.3 d)-f). The materials shown in Fig.3 a) and d) were sintered at  $1500^\circ C$ . Fig.3 b) and e) show the materials sintered at  $1600^\circ C$  and Fig.3 c) and f) at  $1700^\circ C$ . Both samples sintered at  $1500^\circ C$  and the sample with  $CaO$  addition shown in Fig.3 b) were all porous. On the other hand, the sample with  $CaO-AlF_3$  addition shown in Fig.3 e) and both of the samples sintered at  $1700^\circ C$  showed no pores. It was found that the particle size of the sample with  $CaO-AlF_3$  addition is larger than that of the sample with  $CaO$  addition sintered at same temperature, as shown in Fig.3 a)-f). Thus, the grain growth may be promoted in the  $AlN-CaO-AlF_3$  system.

Crystallographic phases of sintered samples are listed in Table 2. The crystallographic phase of the samples with  $Y_2O_3$  and  $Y_2O_3-AlF_3$  addition were found to be similar to  $Y_4Al_2O_9$ . This phase is the liquid phase during

sintering. This means that same sintering system may be promoted in the AlN body in spite of  $\text{AlF}_3$  addition. A similar phase was observed in the samples with CaO and CaO- $\text{AlF}_3$  additive.

$\text{AlF}_3$  is known as a material that decomposes at approximately 1300°C. XPS measurements were carried out for three samples with CaO- $\text{AlF}_3$  addition, which were sintered at 1300, 1400 and 1600°C. The samples sintered at 1300 and 1400°C were observed at the polished surface, and the sample sintered at 1600°C was observed at the polished and fractured surface. Figure 4 shows the XPS analysis of the material sintered at 1400°C. Fluorine was detected at this temperature. The same result was observed in the sample sintered at 1300°C. This is the information that fluorine exists as the same state in the AlN body. But, it is found out that fluorine disappears at higher than 1400°C. Fluorine was not detected on the polished and on the fractured surface of the sample sintered at 1600°C.

Figure 5 shows the relations between the thermal conductivity and holding time of the materials with CaO,  $\text{CaF}_2$ , CaO- $\text{AlF}_3$  and  $\text{CaF}_2$ - $\text{AlF}_3$  addition sintered at 1600°C. The thermal conductivity of each materials increased with increasing the holding time. However, at saturated value, they showed some difference between the samples with  $\text{AlF}_3$  and without  $\text{AlF}_3$ .  $\text{AlF}_3$  additive may be effective in the initial stage of sintering.

Dielectric constant of the materials with CaO- $\text{AlF}_3$  addition is shown in Table 3. It was measured in the following condition; 1MHz, at room temperature. The values obtained are close to the value of  $\text{Al}_2\text{O}_3$  (8.5 at R.T. 1MHz).

## Conclusion

CaO,  $\text{CaF}_2$  are effective additives for the low temperature (1600°C) sintering of AlN ceramics. Doping  $\text{AlF}_3$  with CaO or  $\text{CaF}_2$  increases thermal

conductivity. Thermal conductivity of  $160\text{W}\cdot\text{m}^{-1}\text{K}^{-1}$  has been obtained in sintering  $\text{AlN-CaO-AlF}_3$  at  $1600^\circ\text{C}$  for 32 hours.

Fluorine decomposes and may go out of the body by evaporation or some other way. Thus it may influence the liquid-phase sintering in the initial stage of the process.

#### References

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Table 1 Additive content in AlN

Sample	Additives & Content (wt%)			
1	Y <sub>2</sub> O <sub>3</sub>	3wt%,		
2	YF <sub>3</sub>	3wt%,		
3	CaO	1wt%,		
4	CaF <sub>2</sub>	1wt%,		
5	Y <sub>2</sub> O <sub>3</sub>	3wt%,	AlF <sub>3</sub>	1wt%
6	YF <sub>3</sub>	3wt%,	AlF <sub>3</sub>	1wt%
7	CaO	1wt%,	AlF <sub>3</sub>	1wt%
8	CaF <sub>2</sub>	1wt%,	AlF <sub>3</sub>	1wt%

Table 2. Crystallographic phases of sintered samples

additives	Crystallographic phase
Y <sub>2</sub> O <sub>3</sub> , AlF <sub>3</sub>	AlN, Y <sub>4</sub> Al <sub>2</sub> O <sub>9</sub> , YN
Y <sub>2</sub> O <sub>3</sub>	AlN, Y <sub>4</sub> Al <sub>2</sub> O <sub>9</sub> , YN
CaO, AlF <sub>3</sub>	AlN, CaAl <sub>2</sub> O <sub>4</sub> , CaAl <sub>4</sub> O <sub>7</sub>
CaO	AlN, CaAl <sub>2</sub> O <sub>4</sub> , CaAl <sub>4</sub> O <sub>7</sub>

Table 3. Dielectric Constant (1MHz)

Sintering condition	Dielectric constant
1600 °C, 4 hours	9.4
1600 °C, 8 hours	9.3
1600 °C, 16 hours	9.1
1800 °C, 4 hours	9.0

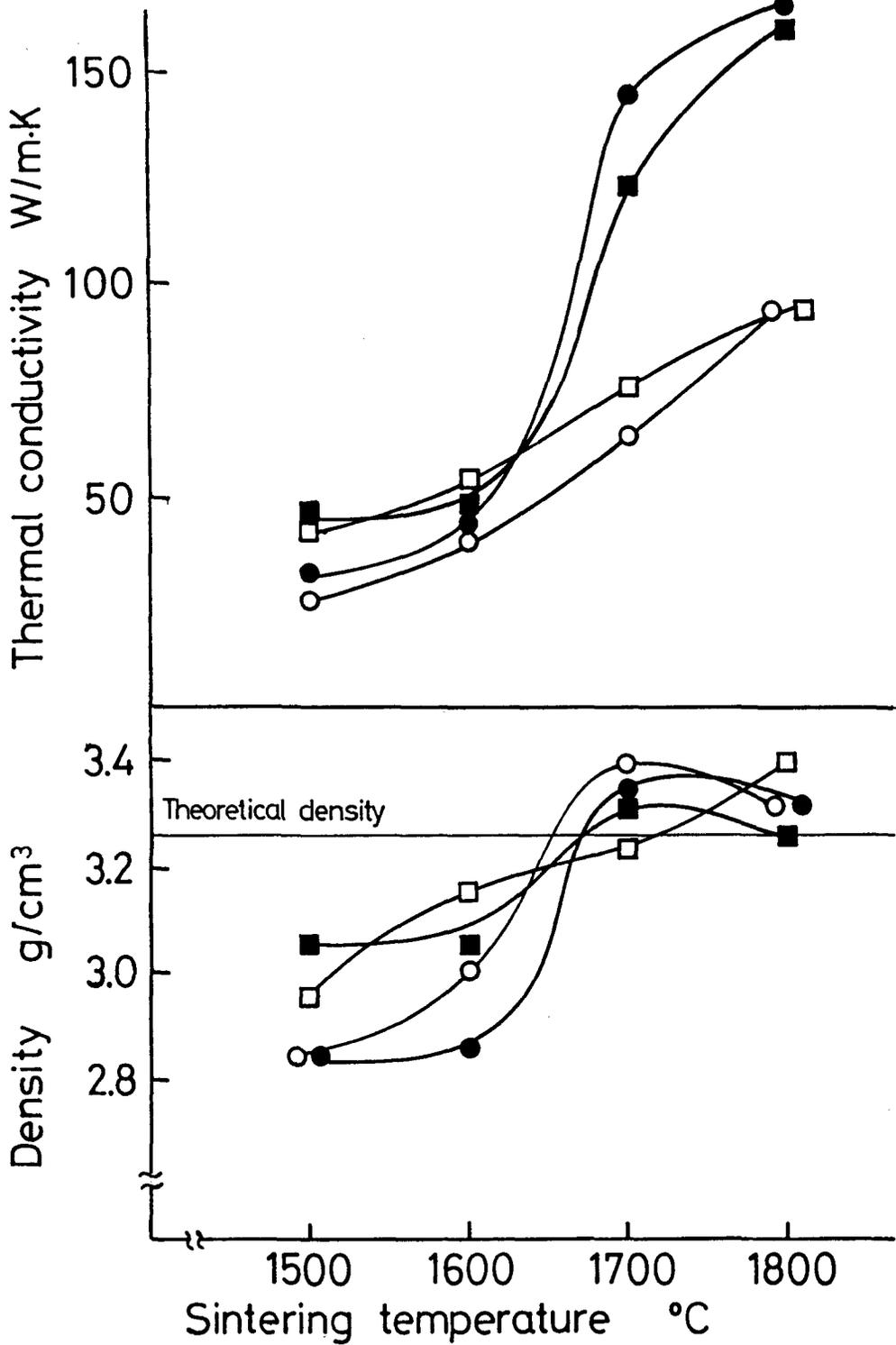


Figure 1 Thermal conductivity and density of AlN ceramics with Y<sub>2</sub>O<sub>3</sub>, YF<sub>3</sub> and AlF<sub>3</sub>. ○:Y<sub>2</sub>O<sub>3</sub>, ●:Y<sub>2</sub>O<sub>3</sub>-AlF<sub>3</sub>, □:YF<sub>3</sub>, ■:YF<sub>3</sub>-AlF<sub>3</sub>

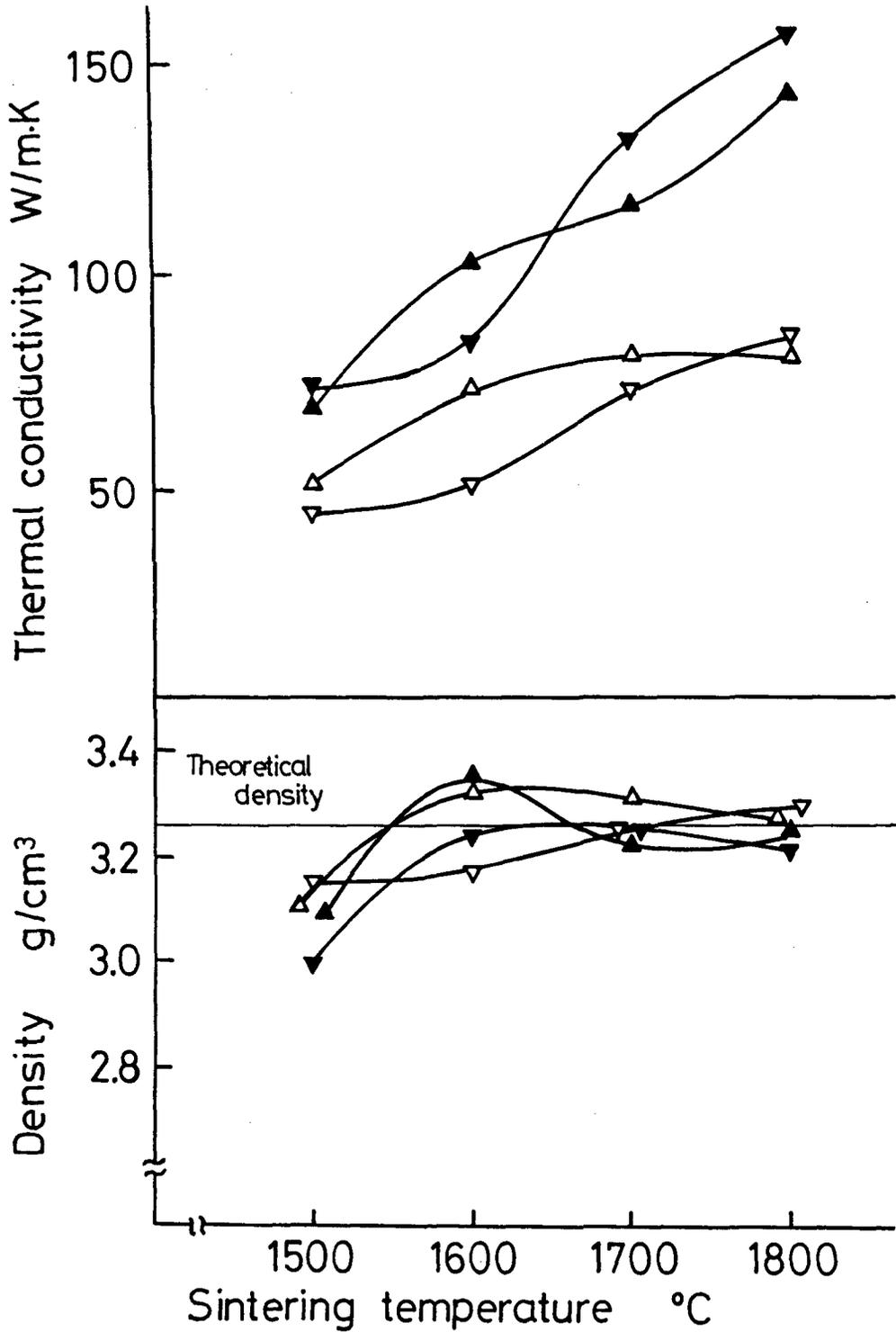
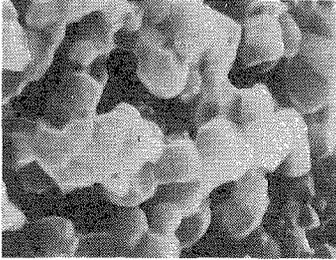
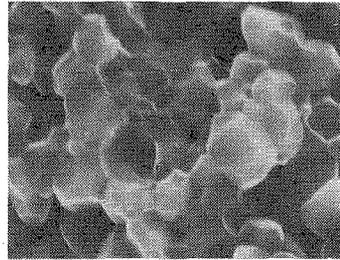
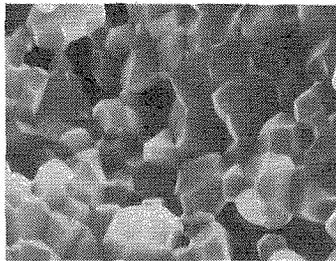
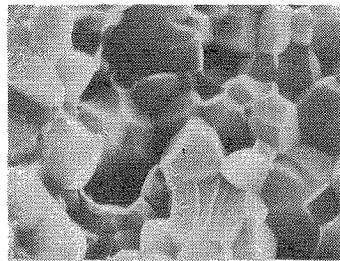


Figure 2 Thermal conductivity and density of AlN ceramics with CaO, CaF<sub>2</sub> and AlF<sub>3</sub>.  $\Delta$ :CaO,  $\blacktriangle$ :CaO-AlF<sub>3</sub>,  $\nabla$ :CaF<sub>2</sub>,  $\blacktriangledown$ :CaF<sub>2</sub>-AlF<sub>3</sub>

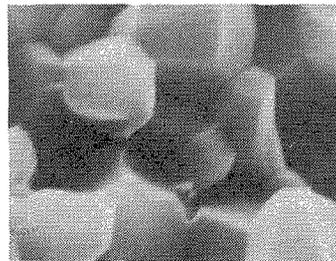
a) Sintering temp:1500°C, Additive:CaO

d) Sintering temp:1500°C, Additives:CaO, AlF<sub>3</sub>

b) Sintering temp:1600°C, Additive:CaO

e) Sintering temp:1600°C, Additives:CaO, AlF<sub>3</sub>

c) Sintering temp:1700°C, Additive:CaO

f) Sintering temp:1700°C, Additives:CaO, AlF<sub>3</sub>

— = 1.0 μm

Figure 3 Microstructure of sintered AlN.

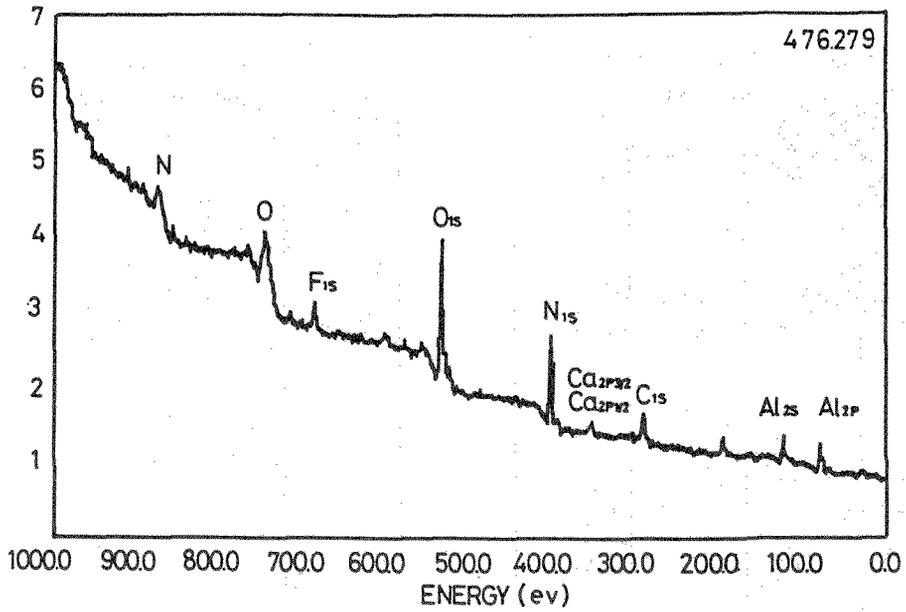


Figure 4 X-ray photoelectron spectroscopy of AlN ceramics sintered at 1400 °C.

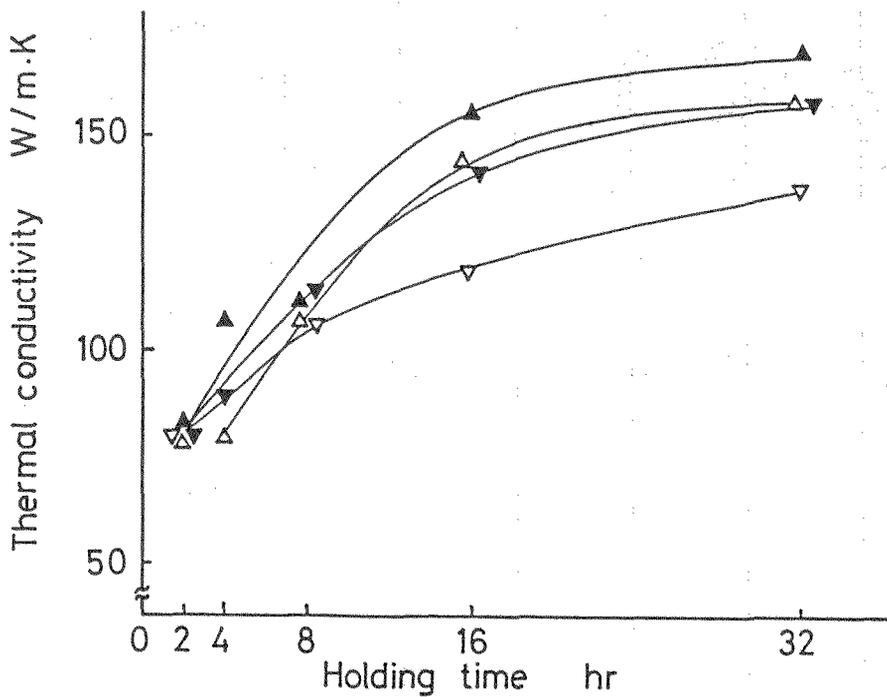


Figure 5 Thermal conductivity of AlN ceramics sintered at 1600°C. △:CaO, ▲: CaO-AlF<sub>3</sub>, ▽:CaF<sub>2</sub>, ▼:CaF<sub>2</sub>-AlF<sub>3</sub>