

# Thermal Conductivity of Ytria-stabilized Zirconia Films Measured by a Laser-heating AC Method

Teiichi Kimura and Takashi Goto

Institute for Materials Research, Tohoku University,  
2-1-1 Katahira, Aoba-ku, Sendai-shi, Miyagi 980-8577, Japan  
Fax:+81-22-215-2106, e-mail:tei@imr.tohoku.ac.jp

8 mol% $Y_2O_3$ -stabilized zirconia films were prepared on alumina substrates by laser chemical vapor deposition, and the thermal conductivity was measured by a laser-heating AC method. The thermal conductivity decreased from 1.3 to 0.7 W/mK with increasing deposition rate from 50 to 450  $\mu\text{m/h}$ . Many nano-pores were formed at high deposition rates, and the decrease in the thermal conductivity was caused by the phonon scattering at the nano-pores.

Key words: laser CVD, YSZ, thermal conductivity, nano-coating, laser-heating AC method

## 1. INTRODUCTION

Since yttria-stabilized zirconia (YSZ) has low thermal conductivity, high thermal expansion coefficient and superior chemical inertness, YSZ coatings have been used as thermal barrier coatings to protect superalloy components in gas turbines. YSZ coatings have been prepared by plasma spraying<sup>1)</sup>, electron beam physical vapor deposition (EB-PVD)<sup>2,3)</sup>, thermal CVD<sup>4,5)</sup>, plasma-enhanced CVD<sup>6)</sup> and laser CVD<sup>7)</sup>. EB-PVD and CVDs are able to prepare YSZ coatings with an anisotropic columnar structure which is preferable for elongating the life-time of TBCs.

Although TBCs should have low thermal conductivity, there has been no report on precise measurement of thermal conductivity for YSZ coatings attaching with substrates. A laser flash technique is widely used for thermal conductivity measurement for bulk materials, whereas not suitable for thick coatings<sup>8)</sup>. A 3- $\omega$  method<sup>9)</sup> and a photo-acoustic method have been developed for the measurement of thin films, but not applicable for thick coatings. On the other hand, a laser-heating AC method can be appropriate to measure thermal conductivity of thick coatings such as TBCs<sup>10)</sup>. We have measured thermal conductivities of YSZ films on alumina substrates prepared by magnetron sputtering, and reported that the laser-heating AC method can accurately measure the thermal conductivity of YSZ films attaching to substrates.<sup>12)</sup>

In this study, the thermal conductivity of YSZ coatings prepared by laser CVD were measured by the laser-heated AC method, and the relationship between nano-structure of YSZ films and thermal conductivity was investigated.

## 2. EXPERIMENTAL

### 2-1. Preparation and characterization

Figure 1 shows a schematic diagram of laser CVD apparatus used in this study. A vertical cold-wall type CVD chamber

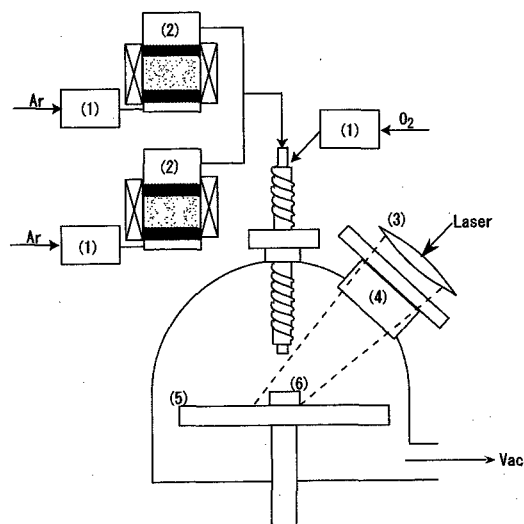


Fig.1 A schematic diagram of laser CVD apparatus.(1):mass flow controller, (2):precursor evaporator, (3):optical lens, (4):quartz window, (5):substrate folder, (6): substrate.

was made of stainless steel. The vaporization temperatures of  $Zr(dpm)_4$  (dpm=dipivaloylmethanate) and  $Y(dpm)_3$  ( $T_Z$  and  $T_Y$ ) were 523 K and 443 K, respectively. The source vapors were carried by Ar gas into the CVD chamber.  $O_2$  gas was separately introduced with a double tube nozzle, and mixed with the precursor vapors above the substrate. The distance between nozzle and substrate was 25 mm. The total gas flow rate was fixed at  $4.66 \times 10^{-6} \text{ m}^3/\text{s}$ , and the total pressure was kept at 0.93 kPa. The CVD conditions are summarized in Table I. Alumina substrates (30 mm $\times$ 3 mm $\times$ 0.1 mm) were pre-heated up to 750°C on a hot stage. A silica glass plate was placed on the alumina substrate to cover a half of the substrate for the thermal conductivity measurement. Nd:YAG laser (wavelength:1063 nm, maximum power:250

Table I Deposition conditions for YSZ films by laser CVD.

Vaporization temperature of precursors	
Zr(dpm) <sub>4</sub> ( $T_Z$ )	523 - 573 K
Y(dpm) <sub>3</sub> ( $T_Y$ )	433 K
Substrate preheating temperature ( $T_{sub}$ )	RT-1027 K
Total Pressure ( $P_{tot}$ )	0.93 kPa
Flow rate of carrier gas	
Ar gas for Zr precursor ( $FR_Z$ )	$5.00 \times 10^{-6}$ m <sup>3</sup> /s
Ar gas for Y precursor ( $FR_Y$ )	$1.67 \times 10^{-6}$ m <sup>3</sup> /s
Flow rate of oxygen gas ( $FR_O$ )	$5.00 \times 10^{-6}$ m <sup>3</sup> /s
Total gas flow rate ( $FR_{tot}$ )	$1.17 \times 10^{-5}$ m <sup>3</sup> /s
Laser power ( $P_L$ )	0-200 W

W) was irradiated to substrates with a spot size about 20 mm in diameter.

Surface and cross-sectional microstructures were observed by scanning electron microscopy (SEM, HITACHI S-3100H) and transmission electron microscopy (TEM, JOEL JEM-2000EX). Crystal structure and composition were determined by X-ray diffraction (XRD, RIGAKU RAD-C) and electron probe x-ray micro analysis (EPMA, JEOL JXA-8621MX), respectively. The thermal conductivity of YSZ films was measured at room temperature by the laser-heating AC method (ULVAC-Riko LaserPIT).

## 2.2. Fundamentals of the laser-heating AC method

Assuming the one-dimensional thermal conduction, the change of temperature ( $T$ ) with time ( $t$ ) and position ( $x$ ) will be given by eq.(1).

$$\frac{\partial^2 T}{\partial x^2} - \frac{1}{D} \frac{\partial T}{\partial t} = 0 \quad (1)$$

where  $D$  is thermal diffusivity. If a specimen is periodically heated at  $x=0$ , and the temperature at  $x=0$  ( $T_{x=0}$ ) may change as eq.(2).

$$T_{x=0} = T_0 + A \cdot \cos(\omega t) \quad (2)$$

where  $T_0$  is the initial temperature,  $A$  is a constant,  $\omega$  is angular frequency. The temperature at  $x=x$  ( $T_{x=x}$ ) will be given as eq.(3).

$$T_{x=x} = T_0 + A \cdot \exp\left(-\sqrt{\frac{\omega}{2D}}x\right) \times \cos\left(\omega t - \sqrt{\frac{\omega}{2D}}x\right) \quad (3)$$

The maximum temperature change at  $x$  ( $\Delta T_{\max, x=x}$ ) may be given by eq.(4).

$$\Delta T_{\max, x=x} = A \cdot \exp\left(-\sqrt{\frac{\omega}{2D}}x\right) \quad (4)$$

Then the relationship between  $\Delta T_{\max}$  and  $x$  can be given by eq.(5).

$$\frac{d(\ln \Delta T_{\max})}{dx} = -\sqrt{\frac{\omega}{2D}} \quad (5)$$

Therefore, the thermal diffusivity  $D$  can be calculated from the slope of logarithmic temperature change against position ( $x$ ).

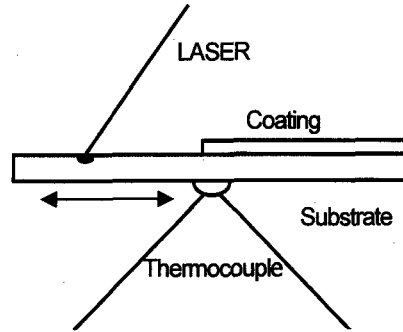


Fig.2 A schematic diagram for the laser-heating AC method.

The whole thermal diffusivity of a film attached with a substrate  $D_{12}$  is described as

$$D_{12} = \frac{D_1 C_1 d_1 + D_2 C_2 d_2}{C_1 d_1 + C_2 d_2} \quad (6)$$

where  $D$ ,  $d$  and  $C$  are thermal diffusivity, thickness and heat capacity, respectively. The subscript number 1 and 2 means film and substrate, respectively.

Eq.(6) was changed to calculate the thermal conductivity of a film ( $\kappa_2$ ) as given by eq.(7).

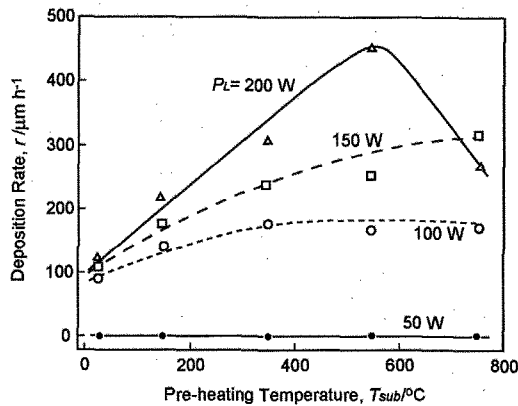
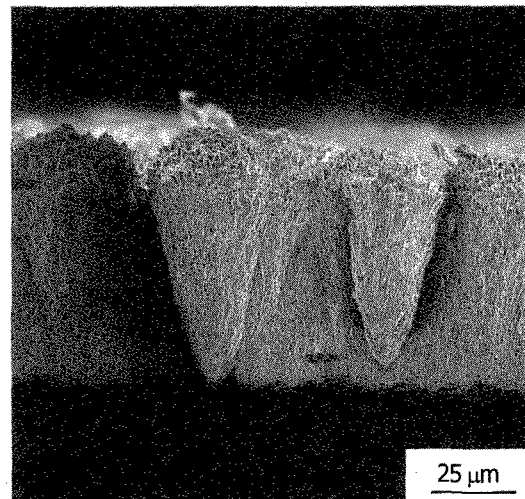
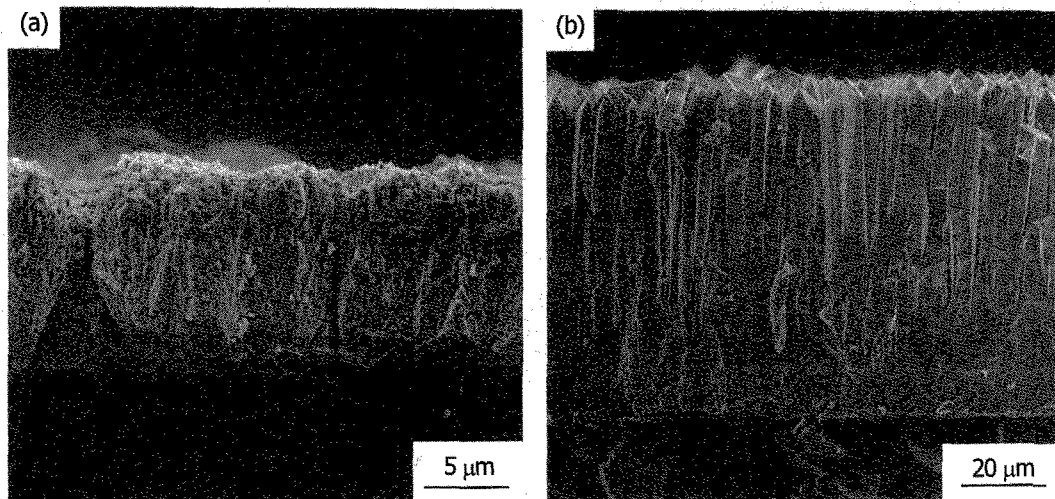
$$\kappa_2 = D_2 \cdot C_2 = \left\{ \left( \frac{d_1}{d_2} + 1 \right) \left( \frac{D_{12}}{D_1} - 1 \right) + \frac{C_2}{C_1} \right\} \times D_1 \quad (7)$$

In this study, YSZ coatings were prepared on a half surface of substrate as shown in Fig.2. The thermal conductivity of YSZ coatings can be calculated by measuring  $D_1$  and  $D_{12}$  from eq.(5). The literature values of  $C_1$  and  $C_2$  were used in eq.(7).

## 3. RESULTS AND DISCUSSION

Figure 3 shows the effects of substrate pre-heating temperature ( $T_{sub}$ ) and laser power ( $P_L$ ) on the deposition rate ( $r$ ) at Zr precursor temperature of  $T_Z=523$  K (Zr precursor flux :  $1.2 \times 10^{-6}$  mol/s). The deposition rate increased with increasing  $T_{sub}$  and  $P_L$ . The highest deposition rate was 450  $\mu\text{m/h}$  at  $T_{sub}=550^\circ\text{C}$  and  $P_L=200$  W. When the Zr precursor flux is  $2.0 \times 10^{-6}$  mol/s at  $T_Z=573$  K, the deposition rate reached to 660  $\mu\text{m/h}$  at  $T_{sub}=750^\circ\text{C}$  and  $P_L=200$  W. The cross-section of YSZ films at the highest deposition rate of 660  $\mu\text{m/h}$  had a cone-structure (Fig.4), which is often observed at a high deposition rate in CVD.

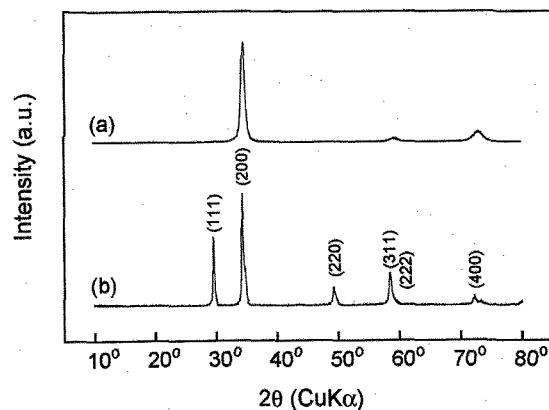
Figure 5 shows cross-sectional SEM images of YSZ films prepared at  $r=50$   $\mu\text{m/h}$  ( $T_{sub}=550^\circ\text{C}$ ,  $P_L=70$  W) and  $r=450$   $\mu\text{m/h}$  ( $T_{sub}=550^\circ\text{C}$ ,  $P_L=200$  W). Both films had the columnar structure, and the columns were more aligned at higher laser power. XRD patterns of these films are shown in Figure 6. Every peaks were indexed with cubic YSZ. The lattice parameters were 0.518 nm at  $r=50$   $\mu\text{m/h}$ , and 0.519 nm at  $r=450$   $\mu\text{m/h}$ .  $\text{Y}_2\text{O}_3$  contents of these films estimated from lattice parameter<sup>13)</sup> were 8.2 and 7.9 mol%, respectively. These  $\text{Y}_2\text{O}_3$  contents agreed with the results of EPMA (8.2 mol% and 8.0 mol%  $\text{Y}_2\text{O}_3$ , respectively). The YSZ films showed a (200) orientation, which was significant in the film

Fig.3 Effects of  $T_{sub}$  and  $P_L$  on deposition rate.Fig.4 Cross-sectional SEM images of YSZ films prepared at  $P_L=200$  W,  $T_{sub}=750^{\circ}\text{C}$ ,  $T_f=300^{\circ}\text{C}$  ( $r=660$   $\mu\text{m/h}$ ).Fig.5 Cross-sectional SEM images of YSZ films prepared at (a)  $P_L=70$  W,  $T_{sub}=550^{\circ}\text{C}$  ( $r=50$   $\mu\text{m/h}$ ) and (b)  $P_L=200$  W,  $T_{sub}=550^{\circ}\text{C}$  ( $r=450$   $\mu\text{m/h}$ ).

prepared at  $r=450$   $\mu\text{m/h}$ .

Figure 7 shows cross-sectional TEM images of YSZ films prepared at  $r=50$  and  $450$   $\mu\text{m/h}$ . At  $r=50$   $\mu\text{m/h}$ , each column was almost single crystal and pores of several nm were observed at the column boundary. At  $r=450$   $\mu\text{m/h}$ , each column was poly-crystal and many pores were observed at the column boundary and insides of the columns.

The thermal conductivity of the YSZ film prepared at  $r=50$   $\mu\text{m/h}$  was 1.3 W/mK, which is almost a half of that of bulk cubic YSZ. The thermal conductivity of the YSZ film prepared at  $r=450$   $\mu\text{m/h}$  was 0.7 W/mK. Figure 8 shows that the thermal conductivity of YSZ films decreased with increasing deposition rate. At high deposition rates, the grain boundary and nano-pores are formed in YSZ films, and the presence of the nano-pores would reduce the thermal conductivity by phonon scattering<sup>14)</sup>.

Fig.6 XRD patterns of YSZ films prepared at (a)  $r=450$   $\mu\text{m/h}$  and (b)  $r=50$   $\mu\text{m/h}$ .

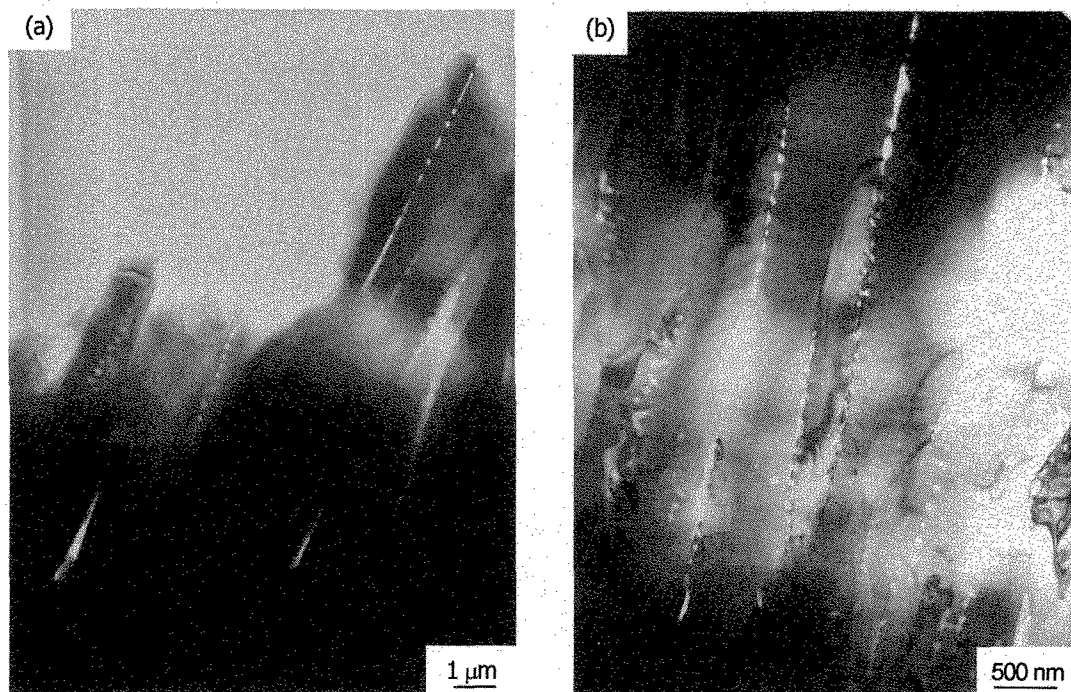


Fig.7 Cross-sectional TEM images of YSZ films prepared at (a)  $r=50 \mu\text{m/h}$  and (b)  $r=450 \mu\text{m/h}$ .

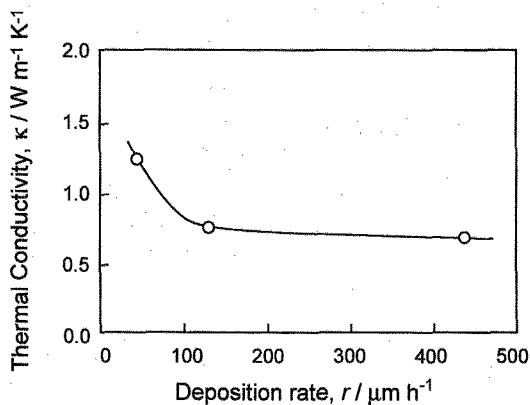


Fig.8 Relationship between thermal conductivity of YSZ film and the deposition rate.

#### 4. CONCLUSION

YSZ films were prepared by laser CVD at significantly high deposition rates. At high deposition rates, grain boundaries and pores were formed in the films, and the nano-pores could reduce the thermal conductivity. YSZ films should have a low thermal conductivity below 1.0 W/mK for TBCs, and the YSZ film prepared by laser CVD had a significantly low thermal conductivity of 0.7 W/mK.

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